SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287

Estimated average burden hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Gjerdrum Thor	2. Issuer Name and Ticker or Trading Symbol <u>A-Mark Precious Metals, Inc.</u> [AMRK]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title Other (specify below)							
(Last) (First) (Middle) 2121 ROSECRANS AVE SUITE 6300	3. Date of Earliest Transaction (Month/Day/Year) 02/01/2023	President							
(Street) EL SEGUNDO CA 90245 (City) (State) (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person							
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

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1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transac Code (li 8)					5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	(Instr. 3 and 4)		(1150.4)	
Common Stock, par value \$0.01 per share	02/01/2023		М		4,082	Α	\$3.17	35,852	D		
Common Stock, par value \$0.01 per share	02/01/2023		S		4,082 ⁽¹⁾	D	\$40	31,770	D		
Common Stock, par value \$0.01 per share	02/02/2023		М		918	A	\$3.17	32,688	D		
Common Stock, par value \$0.01 per share	02/02/2023		М		666	Α	\$4.17	33,354	D		
Common Stock, par value \$0.01 per share	02/02/2023		S		1,584 ⁽¹⁾	D	\$40.0763 ⁽²⁾	31,770	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(eigi, pare, saine, mainaire, optione, control and coordinate															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (li 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Stock Option (Right to Buy)	\$3.17	02/01/2023		м			4,082	(3)	07/31/2029	Common Stock, par value \$0.01 per share	4,082	\$0.00	5,918	D	
Stock Option (Right to Buy)	\$3.17	02/02/2023		М			918	(3)	07/31/2029	Common Stock, par value \$0.01 per share	918	\$0.00	5,000	D	
Stock Option (Right to Buy)	\$4.17	02/02/2023		м			666	(3)	07/31/2029	Common Stock, par value \$0.01 per share	666	\$0.00	9,334	D	

Explanation of Responses:

1. Sale upon exercise of stock option pursuant to Rule 10b5-1 trading plan.

2. The price reported is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from not less than \$40.00 to not more than \$40.40. The reporting person undertakes to provide to A-Mark Precious Metals, Inc. ("A-Mark"), any security holder of A-Mark, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form.

3. The option granted covered 50,000 shares, and vested 33.3% per year on June 30, 2020, 2021 and 2022.

Remarks:

/s/ Carol Meltzer, by power of

attorney

** Signature of Reporting Person

02/03/2023

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.