

SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

**FORM 8-K**  
**CURRENT REPORT**  
**PURSUANT TO SECTION 13 OR 15 (d) OF**  
**THE SECURITIES EXCHANGE ACT OF 1934**  
Date of Report (Date of earliest event reported): September 10, 2019



A-MARK PRECIOUS METALS, INC.

(Exact name of registrant as specified in its charter) (Exact name of registrant as specified in its charter)

**Delaware**

(State or other jurisdiction of  
incorporation or organization)

**001-36347**

(Commission file number)

**11-246169**

(I.R.S. employer identification no.)

**2121 Rosecrans Avenue  
Suite 6300  
El Segundo, CA**

(Address of principal executive offices)

**90245**

(Zip code)

Registrant's telephone number, including area code: **(310) 587-1477**

**Not Applicable**

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading symbol(s)	Name of exchange on which is registered
Common Stock, par value \$0.01	AMRK	NASDAQ Global Select Market

Indicate by check mark whether the registrant is an emerging growth company as defined in as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

**Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers: Compensatory Arrangements of Certain Officers**

On September 10, 2019, Cary Dickson notified A-Mark Precious Metals, Inc. (the “Company”) of his intent to resign from his position as Chief Financial Officer of the Company, effective September 30, 2019. Mr. Dickson’s departure was due to family and personal reasons and not as a result of any disagreements with the Company on any matter relating to the Company’s financials, operations, policies or practices.

Effective upon Mr. Dickson’s resignation, Kathleen Simpson-Taylor will succeed Mr. Dickson as the Company’s Chief Financial Officer. Ms. Simpson-Taylor is currently the Company’s Executive Vice President, Controller and Assistant Secretary.

Ms. Simpson-Taylor, age 56, was appointed Executive Vice President, Controller and Assistant Secretary in November 2017, after serving as Vice President, Controller and Assistant Secretary since January 2016. Prior to working for the Company, Ms. Simpson-Taylor held various executive positions at Mattel, Inc., a toy manufacturer, from 2000 to 2015, including Vice President, Mattel Division Finance and Vice President, USA Finance, and served as a member of the Board of Directors of the Mattel Federal Credit Union from 2002 to 2004. Prior to working for Mattel, Simpson-Taylor held management positions at the accounting firm of Ernst & Young. Ms. Simpson-Taylor is a Certified Public Accountant.

A press release announcing Mr. Dickson’s resignation and Ms. Simpson-Taylor’s appointment is attached to this Form 8-K as Exhibit 99.1.

**Item 9.01. Exhibits**

(d) Exhibits:

<b>Exhibit</b>	<b>Description</b>
99.1	<a href="#">Press Release, dated September 16, 2019</a>

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: September 16, 2019

A-MARK PRECIOUS METALS, INC.

By: /s/ Carol Meltzer

Name: Carol Meltzer

Title: General Counsel and Secretary



Exhibit 99.1

## **A-Mark Precious Metals Appoints Kathleen Simpson-Taylor as Chief Financial Officer**

**El Segundo, CA – September 16, 2019 – A-Mark Precious Metals, Inc. (NASDAQ: AMRK)**, a leading full-service provider of products and services to the global precious metals market, has appointed Executive Vice President, Controller and Assistant Secretary Kathleen Simpson-Taylor, CPA as Chief Financial Officer (CFO), effective September 30, 2019. Simpson-Taylor will replace Cary Dickson, who has served as CFO of A-Mark since November 2015.

Dickson, who has commuted daily from Orange County to the company's headquarters in El Segundo for the past four years, elected to step down as CFO to pursue an opportunity close to home. Dickson's resignation was not a result of any disagreements with the company relating to its financials, operations, policies or practices.

"We are immensely grateful to Cary for the countless ways in which he elevated and transformed the finance division and the company as a whole," said CEO Greg Roberts. "We are fortunate to have someone of Kathleen's caliber ready to step into this important leadership position, which is a true testament to Cary's commitment to building a deep bench of talent."

Dickson added: "I am very proud to have been part of A-Mark's growth and expansion of the business to where it is today, and want to thank Greg and the Board of Directors for supporting my decision. I believe A-Mark is well positioned to make this transition and that Kathleen is the right candidate to assume the role of CFO, allowing for continued commitment to quality and continuity within the finance department."

Simpson-Taylor was appointed Executive Vice President, Controller and Assistant Secretary in November 2017, after serving as Vice President, Controller and Assistant Secretary since January 2016. Prior to A-Mark, Simpson-Taylor held various executive positions at Mattel, Inc. from 2000 to 2015, including Vice President, Mattel Division Finance and Vice President, USA Finance, and served as a member of the Board of Directors of the Mattel Federal Credit Union from 2002 to 2004. Prior to Mattel, Simpson-Taylor held management positions at EY (Ernst & Young).

Simpson-Taylor, a Certified Public Accountant, holds a Bachelor of Science in Accounting from Loyola Marymount University.

### **About A-Mark Precious Metals**

Founded in 1965, A-Mark Precious Metals, Inc. (NASDAQ: AMRK) is a leading full-service precious metals trading company and wholesaler of gold, silver, platinum and palladium bullion and related products. The company's global customer base includes sovereign and private mints, manufacturers and fabricators, refiners, dealers, financial institutions, industrial users, investors, collectors, and e-commerce and other retail customers. The company conducts its operations through three complementary segments: Wholesale Trading & Ancillary Services, Secured Lending, and Direct Sales.

A-Mark operates several business units in its Wholesale Trading & Ancillary Services segment, including Industrial, Coin and Bar, Trading and Finance, Transcontinental Depository Services (TDS), Logistics,

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and the Mint (as more fully described below). Its Industrial unit services manufacturers and fabricators of products utilizing precious metals, while its Coin and Bar unit deals in over 200 different products for distribution to dealers and other qualified purchasers. As a U.S. Mint-authorized purchaser of gold, silver and platinum coins, A-Mark purchases bullion products directly from the U.S. Mint for sale to customers. A-Mark also has distributorships with other sovereign mints, including Australia, Austria, Canada, China, Mexico, South Africa and the United Kingdom. Through its TDS subsidiary, A-Mark provides customers with a variety of managed storage options for precious metals worldwide. Through its A-M Global Logistics subsidiary, A-Mark provides customers an array of complementary services, including receiving, handling, inventorying, processing, packaging and shipping of precious metals and custom coins on a secure basis. A-Mark also holds a majority stake in a joint venture that owns the minting operations known as SilverTowne Mint (Mint), which designs and produces minted silver products which provide greater product selection to customers, price stability within the supply chain as well as more secured access to silver during volatile market environments.

The company operates its Secured Lending segment through its wholly-owned subsidiaries, Collateral Finance Corporation (CFC) and AM Capital Funding, LLC (AMCF). Founded in 2005, CFC is a California licensed finance lender that originates and acquires loans secured by bullion and numismatic coins. Its customers include coin and precious metal dealers, investors, and collectors. AMFC was formed in 2018 for the purpose of securitizing eligible secured loans of CFC.

A-Mark operates its Direct Sales segment primarily through its wholly-owned subsidiary Goldline Inc. (Goldline), a direct retailer of precious metals for the investor community. Goldline markets A-Mark's precious metal products through various channels, including radio and the Internet.

A-Mark is headquartered in El Segundo, California and with offices and facilities in Los Angeles, California, Vienna, Austria, Las Vegas, Nevada, and Winchester, Indiana. For more information, visit [www.amark.com](http://www.amark.com).

**Company Contact:**

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