SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL
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			010		investment oc	Inpany Act of 1940					
				er Name and Ticker ark Precious			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
KUBERIS G	<u>KEGUKI N</u>				,	<u> </u>	X	Director	X 10	% Owner	
(Last)	(First)	(Middle)	— L				x	Officer (give title below)		her (specify low)	
2121 ROSECRANS AVE				of Earliest Transac	tion (Month/Da	y/Year)	Chief Executive Officer				
SUITE 6300				2023							
(Street)			4. If Am	endment, Date of C	Driginal Filed (N	/onth/Day/Year)	6. Indiv	idual or Joint/Group Fi	ling (Check A	pplicable Line)	
EL SEGUNDO	CA	90245			•	• •	X	Form filed by One F	Reporting Per	son	
								Form filed by More	than One Re	oorting Person	
(City)	(State)	(Zip)									
		Table I - No	on-Derivative	Securities Ac	quired, Dis	posed of, or Beneficia	lly Ow	ned			
1. Title of Security (Instr. 3)	1	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Di Of (D) (Instr. 3, 4 and 5)	sposed	5. Amount of Securities Beneficially Owned Following Reported	6. Ownershi Form: Direc or Indirect (I (Instr. 4)	(D) Indirect	

		(Month/Day/Year)	8)					Following Reported	(Instr. 4)	Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock, par value \$0.01 per share	02/22/2023		М		10,000	A	\$6.4	38,202	D		
Common Stock, par value \$0.01 per share	02/22/2023		S		10,000	D	\$ 31.1557 ⁽¹⁾	28,202	D		
Common Stock, par value \$0.01 per share	02/23/2023		М		10,000	A	\$6.4	38,202	D		
Common Stock, par value \$0.01 per share	02/23/2023		S		10,000	D	\$30.3012(2)	28,202	D		
Common Stock, par value \$0.01 per share								1,557,876	I	See footnote ⁽³⁾	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(e.g., puis, cans, warrants, options, convertible securities)															
Derivative Conversion Date		3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Stock Option (Right to Buy)	\$6.4	02/22/2023		М			10,000	(4)	02/19/2026	Common Stock, par value \$0.01 per share	10,000	\$0.00	51,600	D	
Stock Option (Right to Buy)	\$6.4	02/23/2023		М			10,000	(4)	02/19/2026	Common Stock, par value \$0.01 per share	10,000	\$0.00	41,600	D	

Explanation of Responses:

1. The price reported is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from not less than \$30.90 to not more than \$31.41. The reporting person undertakes to provide to A-Mark Precious Metals, Inc. ("A-Mark"), any security holder of A-Mark, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form.

2. The price reported is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from not less than \$30.20 to not more than \$30.51. The reporting person undertakes to provide to A-Mark Precious Metals, Inc. ("A-Mark"), any security holder of A-Mark, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form.

3. Shares beneficially owned by Silver Bow Ventures, LLC, which is 50% owned by the Reporting Person. The Reporting Person disclaims beneficial ownership of such shares in excess of his proportionate pecuniary interest in Silver Bow Ventures, LLC.

4. The option granted covered 200,000 shares, and vested 33.3% per year on June 30, 2017, 2018 and 2019.

Remarks:

/s/ Carol Meltzer, by power of

02/23/2023

attorney ** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.