#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

to Buy)

Stock

Option

(Right

to Buy

\$ 9.52

05/26/2021

M

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the

| Instru   | ction 1(b).   |   |                                     | Ir  | ives   | tmen   | t Comp   | pany          | Ac  | t of 19 | 940                                  |   |   |                            |   |                  |           |                 |
|--|---|---|-------------------------------------|---|--|--------|--|---------------|---|---------|--------------------------------------|---|---|----------------------------|---|------------------|-----------|-----------------|
| (Print or Ty   | pe Response   | s)  |                                     |   |  |        |  |               |   |         |                                      |   |   |                            |   |                  |           |                 |
| 1. Name and Address of Reporting Person* ROBERTS GREGORY N           |   |   |                                     | 2. Issuer Name and Ticker or Trading Symbol A-Mark Precious Metals, Inc. [AMRK] |  |        |  |               |   |         |                                      |   | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  X Director X 10% Owner |                            |   |                  |           |                 |
| (Last) (First) (Middle)<br>2121 ROSECRANS AVE, SUITE 6300            |   |   |                                     | 3. Date of Earliest Transaction (Month/Day/Year) 05/26/2021                     |  |        |  |               |   |         |                                      |   | X_ Officer (give title below) Other (specify below)  Chief Executive Officer                    |                            |   |                  |           |                 |
|  |   | (Street)  |                                     |   |  |        |  |               |   |         |                                      | 6. Individual or Joint/Group Filing(Check Applicable Line) X Form filed by One Reporting Person |   |                            |   |                  |           |                 |
| EL SEGU  | UNDO, CA  | A 90245   |                                     |   |  |        |  |               |   |         |                                      |   | _   | Form filed by              | More than One I   | Reporting Person |           |                 |
| (Cit   | y)  | (State)   | (Zip)                               |   |  |        | Table  | I - No        | n-E   | Derivat | ive Secu                             | rities .  | Acquire   | d, Disposed                | of, or Benef  | ficially Own     | ed        |                 |
| 1.Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Year) |   | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) |                                     | (Instr. 8)  |  |        | 4. Securities Acquir or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) |               | f (D)   | \ /     |                                      |   |   | Form:                      | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |                  |           |                 |
| Common<br>per share  | _   | r value \$0.01  | 05/26/2021                          |   |  |        | M  |               |   | 10,51   | <u> </u>                             |   |   | 20,810                     |   |                  | D         |                 |
| 1  | Stock, pa   | r value \$0.01  | 05/26/2021                          |   |  |        | S  |               |   | 10,51   | .0 D                                 | \$<br>52.8<br>(1)   | 8992 1  | 10,300                     |   |                  | D         |                 |
|  | Common Stock, par value \$0.01 per share 05/26/2021   |   | 05/26/2021                          |   |  |        | М  |               |   | 2,000   | ) A                                  | \$ 9.   | .52 1   | 12,300                     |   |                  | D         |                 |
| Common Stock, par value \$0.01 per share 05/26/2021                  |   | 05/26/2021  |                                     |   |  | S      |  |               | 2,000   | D       | \$<br>52.8                           | 8196  | 10,300  |                            |   | D                |           |                 |
| Common Stock, par value \$0.01 per share 05/27/2021                  |   | 05/27/2021  |                                     |   |  | M      |  |               | 6,100   | ) A     | \$ 9.                                | .52   | 16,400  |                            |   | D                |           |                 |
| Common Stock, par value \$0.01 per share                             |   | 05/27/2021  |                                     |   |  | S      |  |               | 6,100   | ) D     | \$<br>52.1<br>(2)                    | 1519 1  | 10,300  |                            |   | D                |           |                 |
| Common<br>per share  | _   | r value \$0.01  |                                     |   |  |        |  |               |   |         |                                      |   | 7   | 778,938 (3                 | )   |                  | I         | See<br>footnote |
| Reminder:  | Report on a s   | separate line for each                                      | h class of securities b             | peneficial  | lly ov   | wned d | lirectly o   | P<br>ir       | ers<br>n th   | ons w   | n are n                              | ot req  | quired to   |                            | of informati<br>unless the  |                  |           | 1474 (9-02)     |
|  |   |   | Table II                            |   |  |        |  |               |   |         | l of, or I<br>rtible so              |   |   | wned                       |   |                  |           |                 |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                  | 2. Conversion or Exercise Price of Derivative Security  3. Transaction Date Execution Date, if Code (Instr. 8) (Month/Day/Year)  3. A. Deemed Execution Date, if Code (Instr. 8) (Month/Day/Year) |   | 4. 5. Number of Derivati Securities |   | nber 6. Date<br>ivative Expira<br>(Month<br>red (A)<br>posed |        | Date Exercisable and 7. epiration Date of fonth/Day/Year) Se                   |               | 7. Title and Amoun<br>of Underlying<br>Securities<br>(Instr. 3 and 4) |         | Derivative<br>Security<br>(Instr. 5) |   | Owners Form of Derivat Securit Direct or India (s) (I)  | Ownershi<br>y: (Instr. 4)  |   |                  |           |                 |
|  |   |   |                                     | Code  | V  | (A)    | (D)  | Date<br>Exerc | cisal   |         | Expiratio<br>Date                    | on ,  | Title   | Amount or Number of Shares |   | (Instr. 4)       | (Instr. 4 | 1)              |
| Stock<br>Option<br>(Right  | \$ 11.61  | 05/26/2021  |                                     | М   |  | 1      | 0,510  | 03/1          | 4/2   | 2014    | )2/15/2                              | 2023  | Common<br>Stock<br>par val<br>\$0.01 p  | x,<br>ue 10,510            | \$ 0  | 0                | D         |                 |

share Common

Stock,

par value

\$0.01 per

share

2,000 | 03/14/2014 | 02/15/2023

\$0

21,972

D

2,000

| Stock<br>Option<br>(Right<br>to Buy | \$ 9.52 | 05/27/2021 |  | M |  | 6,100 | 03/14/2014 | 02/15/2023 | Common<br>Stock,<br>par value<br>\$0.01 per<br>share | 6,100 | \$ 0 | 15,872 | D |  |  |
|-------------------------------------|---------|------------|--|---|--|-------|------------|------------|--|-------|------|--------|---|--|--|
|-------------------------------------|---------|------------|--|---|--|-------|------------|------------|--|-------|------|--------|---|--|--|

# **Reporting Owners**

| P. 41 O. N. 4   | Relationships |              |                         |       |  |  |  |
|---|---------------|--------------|-------------------------|-------|--|--|--|
| Reporting Owner Name / Address  | Director      | 10%<br>Owner | Officer                 | Other |  |  |  |
| ROBERTS GREGORY N<br>2121 ROSECRANS AVE<br>SUITE 6300<br>EL SEGUNDO, CA 90245 | X             | X            | Chief Executive Officer |       |  |  |  |

### **Signatures**

| /s/ Carol Meltzer, by power of attorney | 05/28/2021 |
|---|------------|
| Signature of Reporting Person           | Date       |

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from not less than \$52.38 to not more than \$53.03. The reporting person (1) undertakes to provide to A-Mark Precious Metals, Inc. ("A-Mark"), any security holder of A-Mark, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form
- The price reported is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from not less than \$51.71 to not more than \$52.59. The reporting person (2) undertakes to provide to A-Mark Precious Metals, Inc. ("A-Mark"), any security holder of A-Mark, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form
- (3) Shares beneficially owned by Silver Bow Ventures, LLC, which is 50% owned by the Reporting Person. The Reporting Person disclaims beneficial ownership of such shares in excess of his proportionate pecuniary interest in Silver Bow Ventures, LLC.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

#### POWER OF ATTORNEY

Know all by these presents, that the undersigned hereby constitutes and appoints Carol E. Meltzer, the undersigned's true and lawful attorney-in-fact to:

- (1) prepare, execute in the undersigned's name and on the undersigned's behalf, and submit to the United States Securities and Exchange Commission (the "SEC") a Form ID, including amendments thereto, and any other documents necessary or appropriate to obtain codes and passwords enabling the undersigned to make electronic filings with the SEC of reports required by Section 16(a) of the Securities Exchange Act of 1934 or any rule or regulation of the SEC;
- (2) execute for and on behalf of the undersigned, in the undersigned's capacity as a director of A-Mark Precious Metals, Inc. (the "Company"), Forms 3, 4, and 5 in accordance with Section 16(a) of the Securities Exchange Act of 1934 and the rules thereunder, and any other forms or reports the undersigned may be required to file in connection with the undersigned's ownership, acquisition, or disposition of securities of the Company;
- (3) do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4, or 5, or other form or report, and timely file such form or report with the SEC and any stock exchange or similar authority; and
- (4) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary, or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorney-in-fact, in serving in such capacity at the request of the undersigned, is not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4, and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorney-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of the date set forth below.

| Signature:      |
|-----------------|
| Name:           |
| Date:           |
| Gregory Roberts |

July 31, 2020